



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/286,723

Filing Date: April 6, 1999

Group Art Unit: 3626

First Named Inventor: Daniel Duggan

Title: UNIVERSAL RESTRAINT CLIP FITTING

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

**POWER OF ATTORNEY  
REVOCATION AND APPOINTMENT**

I, the person signing below, state that I am empowered to act on behalf of the assignee of record of the entire interest in the above-identified application. I hereby revoke all previous powers of attorney given in this application, and I appoint the following practitioners to prosecute this application and transact all business connected therewith in the United States Patent and Trademark Office:

R. William Reinsmith  
Donald J. Hayes

Registration No. 22,523  
Registration No. 19,021

Send correspondence to:

Murtha, Cullina, Richter and Pinney LLP  
185 Asylum Street  
CityPlace I, 29<sup>th</sup> Floor  
Hartford, CT 06103-3469

Direct telephone calls to:

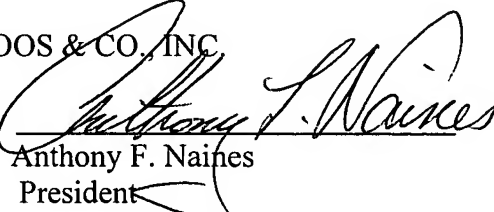
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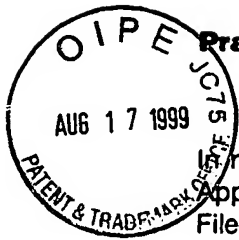
Dated 8-3-99

LOOS & CO., INC

By

  
Anthony F. Naines  
President

*Edy 3626*  
#5  
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9/5/99  
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3626  
AUG 23 AM 7:53



Practitioner's Docket No. Loos Case 6

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Large application of: Daniel Duggan  
Application No.: 09 /286,723 Group No.: 3626  
Filed: April 6, 1999  
For: UNIVERSAL RESTRAINT CLIP FITTING

Patent\*:

Issue Date: \_\_\_\_\_

Reexamination No.:

Issue Date: \_\_\_\_\_

Reissue:

Issue Date: \_\_\_\_\_

\*NOTE: Insert name(s) of inventor(s) and title for patent.

Assistant Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT UNDER 37 C.F.R. § 3.73(b)—  
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a)

☐ with sufficient postage as first class mail.

37 C.F.R. § 1.10\*

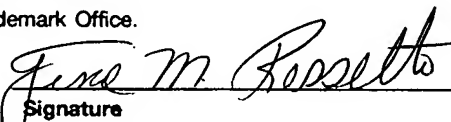
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**TRANSMISSION**

☐ transmitted by facsimile to the Patent and Trademark Office.

  
Signature

Date: 08/17/1999

Gina M. Rossetto

(type or print name of person certifying)

**\*WARNING:** Each paper or fee filed by Express Mail **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

NOTE: 37 CFR 3.73(b) states: "When an assignee seeks to take action in a matter before the Office with respect to a patent application, . . . , patent, registration, or reexamination proceeding, the assignee must establish its ownership of the property to the satisfaction of the Commissioner. Ownership is established by submitting to the Office, in the Office file related to the matter in which action is sought to be taken, documentary evidence of a chain of title from the original owner to the assignee (e.g., copy of an executed assignment submitted for recording) or by specifying (e.g., reel and frame number) where such evidence is recorded in the Office. The submission establishing ownership must be signed by a party authorized to act on behalf of the assignee. Documents submitted to establish ownership may be required to be recorded as a condition to permitting the assignee to take action in a matter pending before the Office."

NOTE: "Section 3.73(b) is amended to remove the sentence requiring an assignee to specifically state that the evidentiary documents have been reviewed and to certify that title is in the assignee seeking to take action. The sentence is deemed to be unnecessary in view of the amendment to §§ 1.4(d) and 10.18." Notice of Oct. 10, 1997, 62 Fed. Reg. 53,131, at 53,174.

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

### IDENTIFICATION OF ASSIGNEE

2. Loos & Co., Inc.

Name of assignee  
Corporation

Type of assignee, e.g., corporation, partnership, university, government agency, etc.

### PERSON AUTHORIZED TO SIGN

3. Anthony F. Naines

(type name of person authorized to sign on behalf of assignee)

President

Title of person authorized to sign

NOTE: The Notice of April 30, 1993 (1150 O.G. 62-64) points out:

"The statement under 37 CFR 3.73(b) may be signed on behalf of the assignee in the following two manners if the assignee is an organization (e.g., corporation, partnership, university, government agency, etc.).

"(1) The statement may be signed by a person in the organization having apparent authority to sign on behalf of the organization. An officer (president, vice-president, secretary, or treasurer) is presumed to have authority to sign on behalf of the organization. The signature of the chairman of the board of directors is acceptable, but not the signature of an individual director. A person having a title (manager, director, administrator, general counsel) that does not clearly set forth that person as an officer of the assignee is not presumed to be an officer of the assignee or to have authority to sign the statement on behalf of the assignee. A power of attorney from the inventors in an organization to a practitioner to prosecute a patent application does not make the practitioner an official of an assignee or empower the practitioner to sign the statement on behalf of the assignee.

"(2) The statement may be signed by any person, if the statement includes an averment that the person is empowered to sign the statement on behalf of the assignee and, if not signed by a registered practitioner, the statement must be in oath or declaration form. Where a statement does not include such an averment, and the person signing does not hold a position in the organization that would give rise to a presumption that the person is empowered to sign the statement on behalf of the assignee, evidence of the person's authority to sign will be required."

[Author's Note: The requirement for an oath or declaration for this statement by a person not a registered practitioner was rescinded by the rules effective December 1, 1997.]

(complete the following, if applicable)

☒ I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

### BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

A.

1. ☒ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at  
Reel 009956, Frame 0879.
2. ☐ An assignment (document) separately being submitted for recordal herewith.

### AND/OR

- B. ☐ A chain of title from the inventor(s) to the current assignee as shown below:

1. From: \_\_\_\_\_  
Name of inventor(s)

To: \_\_\_\_\_

Recorded in PTO: Reel \_\_\_\_\_, Frame \_\_\_\_\_

2. From: \_\_\_\_\_  
Name of inventor(s) or assignee

To: \_\_\_\_\_

Recorded in PTO: Reel \_\_\_\_\_, Frame \_\_\_\_\_

3. From: \_\_\_\_\_  
Name of inventor(s) or assignee

To: \_\_\_\_\_

Recorded in PTO: Reel \_\_\_\_\_, Frame \_\_\_\_\_

*(check item below, and add details, if applicable)*

- ☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

### COPIES OF DOCUMENTS IN CHAIN OF TITLE

*(complete this item, if copies are being sent)*

- ☒ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

☒ A

☒ 1

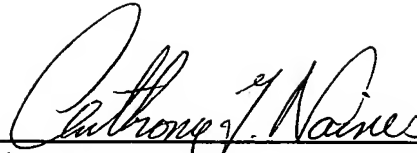
☐ 2

☐ B

☐ 1

☐ 2

☐ 3



(Signature of authorized person)

Anthony F. Naines

(type or print name of authorized person)

President

Title of authorized person

Reg. No.:

SIGNATURE OF PRACTITIONER

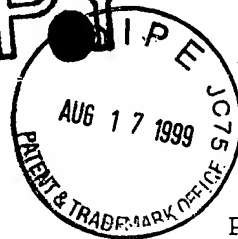
Tel. No.: (    )

(type or print name of practitioner)

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
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Washington, D.C. 20231

JULY 21, 1999

MURTHA, CULLINA, RICHTER & PINNEY LLP  
R. WILLIAM REINSMITH  
185 ASYLUM STREET, CITY PLACE I, 30TH FL  
HARTFORD, CT 06103



\*101042418A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/04/1999

REEL/FRAME: 009956/0879  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
DUGGAN, DANIEL C.

DOC DATE: 03/30/1999

ASSIGNEE:  
LOOS & CO., INC.  
901 INDUSTRIAL BOULEVARD  
NAPLES, FLORIDA 34104

SERIAL NUMBER: 09286723  
PATENT NUMBER:

FILING DATE: 04/06/1999  
ISSUE DATE:

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

## ASSIGNMENT

WHEREAS, I, Daniel Duggan, a citizen of the United States of America and resident of St. Louis, County of St. Louis, State of Missouri have invented new and useful improvements in a

### UNIVERSAL RESTRAINT CLIP FITTING

for which I have made application for Letters Patent of the United States, which application was executed by me on the 30th day of March, 1999 (and I hereby authorize my attorneys, authorized to prosecute said application, to here insert the serial number and filing date of said application, as soon as it is known, **Serial No.** 09/286,723, **Filed** April 6, 1999 ).

WHEREAS, Loos & Co., Inc. a Delaware corporation, having its principal place of business at 901 Industrial Boulevard, Naples, FL 34104 is desirous of acquiring the entire right, title and interest in and to said improvements and in any Letters Patent which may be granted thereon.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for good and valuable consideration, receipt of which is hereby acknowledged, I, the said Daniel Duggan, sell, assign and transfer to said Loos & Co., Inc., its successors and assigns (hereinafter called "Assignee"), the entire right, title and interest in and to said improvements and in and to any Letters Patent which may be obtained thereon in the United States and all divisional, continuing, substitute, renewal, reissue, and other applications for Letters Patent which have been or may be filed on said improvements in the United States or any other country; the same to be held and enjoyed by the Assignee for its and their sole use and behoof.

I hereby authorize and request the Commissioner of Patents and Trademarks to issue all Letters Patent of the United States on said improvements to the Assignee.

I further covenant and agree that when requested by the Assignee, and without further consideration, but at the cost and expense of the Assignee, I will execute and deliver all applications for patents on said improvements, execute all lawful oaths and other papers, supply to the Assignee all facts and evidence known to me relating to said improvements and the history and development thereof, testify in all interferences, suits and other legal proceedings, and generally do everything rightful which the Assignee shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said improvements and for vesting the title to said improvements in the Assignee.

I further covenant that I have the lawful right to assign the interest in said improvements in the manner and form as herein expressed and that the interests herein conveyed are free from prior assignment, grant, mortgage, license or other encumbrance whatever.

IN WITNESS WHEREOF, I have hereunto set my hand and seal as of and for the  
30 day of MARCH, 1999.

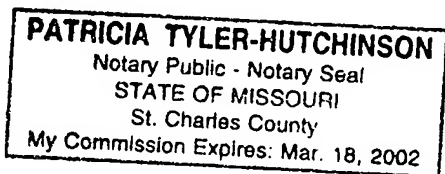
  
Daniel Duggan

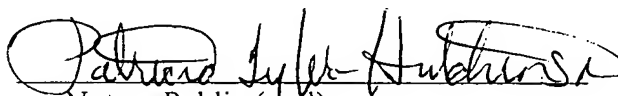
State of Missouri

SS:

County of St Charles

Sworn to and subscribed before me this 30 day of March, 1999,  
by the said \_\_\_\_\_, who acknowledged the same to be his own free act and  
deed.



  
Notary Public (seal)



05-21-1999

FORM PTO-1085  
1-3-92

AUG 17 1999

IEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings &lt;&lt;&lt;&gt;&gt;&gt;

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To the Honorable Commissioners of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Daniel C. Duggan

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: March 30, 1999

## 2. Name and address of receiving party(ies):

Name: Loos &amp; Co., Inc.

Internal Address:

Street Address:

901 Industrial Boulevard

City: Naples State: FL ZIP: 34104

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

serial no. 09/286,723  
filing date: April 6, 1999

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: R. William Reinsmith

Internal Address:

Street Address:

Murtha, Cullina, Richter &amp; Pinney LLP

185 Asylum Street, CityPlace I, 30th FL

City: Hartford State: CT ZIP: 06103

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

08-1388

(Attach duplicate copy of this page if paying by deposit account)

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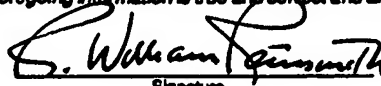
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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

R. William Reinsmith

Name of Person Signing



Signature

May 4, 1999

Date

Total number of pages comprising cover sheet:

3

OMB No. 0651-0011 (exp. 4/94)

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05/20/1999 DNGUYEN 00000201 081388 09286723  
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Washington, D.C. 20231

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